



Reinforcement Learning for 5G Caching with Dynamic Cost

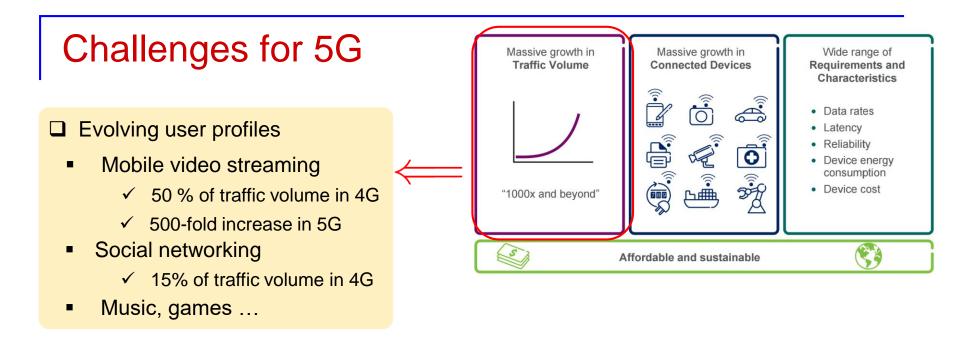
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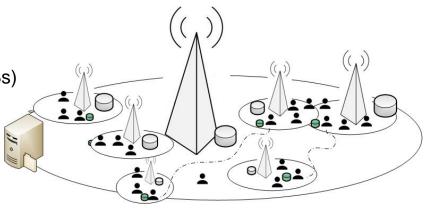
> IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP) Calgary, Alberta, Canada, 15-20 April 2018



□ 60% of data is reusable, a.k.a. *contents*

Heterogeneous network architecture (HetNet)

- Utilization of storage units at small base stations (SBs)
 - Proactively store popular contents (cache)
- Challenge: what and when to store?
 - Requires learning content popularities



G. Paschos, E. Bastug, I. Land, G. Caire and M. Debbah, "Wireless caching: technical misconceptions and business barriers," *IEEE Communications Magazine*, vol. 54, no. 8, pp. 16-22, August 2016.

Caching in wireless networks

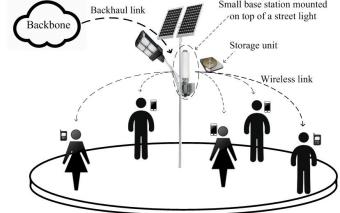
- Memory-enabled SBs
 - Cache during off-peak hours
 - Reduce load on backhauls during peak traffic periods
 - Reduce cost for providing service with high QoS
- Generally unknown content popularity profiles

Prior art

- Popularity profile learning [Blasco, Gunduz'15], [Baştuğ', Debbah, Saad16], [Bharath'16]
- Multi-armed bandit (MAB) formulation [Belasco et al'14]
- Distributed and convexified MAB [Sengupta et al'14]
- Dynamic popularity profiles [Sadeghi et al'18]
- Game-theoretic caching [Hamidouche, Debbah, Saad'16]
- Coded caching [Maddah-Ali, Usir'16], [Alizadeh, Avestimehr'16], [Amiri, Gunduz'17]

Proposed approach

Caching via reinforcement learning while considering dynamic fetching-caching costs

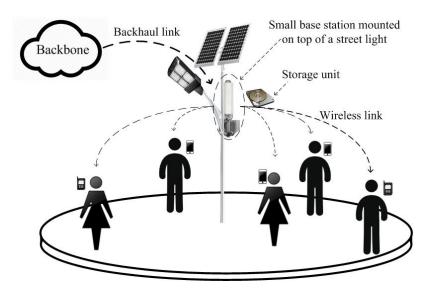


- Unknown static popularity profile
- Unknown dynamic popularity profile

Problem statement

- Discrete-time network
- Access point with storage to cache popular files
- Total number of *F* contents at the back-bone
- User content requests are served using
 - ✓ Proactively cached contents, or
 - ✓ Reactively fetched (via back-haul link) contents
- Pertinent costs for provisioning a request
 - Storing locally in access point: processing, storage and energy consumption
 - Fetching from cloud: scheduling, routing, transmission through expensive back-haul

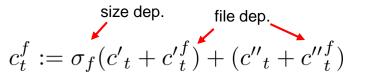
Minimize sum-average cost by sequentially making fetching-caching decisions



Content-dependent variable costs

File- and size-dependent caching-fetching costs

Cost of caching file *f* at slot *t*



Cost of fetching file f at slot t

 $\phi_{t}^{f} := \sigma_{t}(\phi'_{t} + \phi'_{t}^{f}) + (\phi''_{t} + \phi''_{t}^{f})$

- Base-station receives user file request for file *f* at slot *t* ($r_t^f = 1$)
 - Fetching-action variable
 - Caching-action variable

$$w_t^f \in \{0, 1\}$$

 $a_t^f \in \{0, 1\}$

Goal: Given pdf of iid $\{r_{\tau}^{f}, c_{\tau}^{f}, \phi_{\tau}^{f}\}_{\tau=t+1}^{\infty}$ and instantaneous values per slot *t*, find $\{w_{\tau}^{f*}, a_{\tau}^{f*}\}_{\tau=t+1}^{\infty}$

Fetch and cache via dynamic programing

 $s_t^f \in \{0, 1\}$ where $s_{t}^{f} = a_{t-1}^{f}$ Cache-state variable Constraints on fetch-cache decision variables $\{w^f_{\tau}, a^f_{\tau}\}_{\tau=t+1}^{\infty}$ $r_t^f < w_t^f + s_t^f, \quad \forall f, t$ File requests must be served (no drop-off allowed) Caching is feasible iff file is available $a_t^f < s_t^f + w_t^f, \quad \forall f, t$ $C^{f}(a_{t}^{f}, w_{t}^{f}; c_{t}^{f}, \phi_{t}^{f}) = c_{t}^{f}a_{t}^{f} + \phi_{t}^{f}w_{t}^{f}$ □ Fetch-cache cost $\min_{\{(w^f_{\tau}, a^f_{\tau})\}_{f,\tau \ge t}} \bar{\mathcal{C}}_t := \sum_{\tau=t}^{\infty} \sum_{f=1}^{r} \gamma^{\tau-t} \mathbb{E} \left[C^f \left(a^f_{\tau}, w^f_{\tau}; c^f_{\tau}, \phi^f_{\tau} \right) \right]$ s.t. $(w^f_{\tau}, a^f_{\tau}) \in \mathcal{X}(r^f_{\tau}, s^f_{\tau}), \quad \forall f, \ \tau \ge t$ $\mathcal{X}(r_t^f, s_t^f) := \{ (w, a) \mid w, a \in \{0, 1\}, s_t^f = a_{t-1}^f, r_t^f \le w + s_t^f, a \le s_t^f + w \}$

Optimization separable across files!

Formulating per content optimization

□ Marginalized value function

$$\bar{V}^f(s^f) := \mathbb{E}_{r^f, c^f, \phi^f} \left[\min_{(w, a) \in \mathcal{X}(r^f, s^f)} \left\{ C^f(a, w; c^f, \phi^f) + \gamma \bar{V}^f(a) \right\} \right]$$

Value iteration algorithm

 $\hfill\square$ Value iteration algorithm to find $\ \bar{V}^f(s^f)$

- Input: probability density functions of c^f, ϕ^f and r^f
- Initialize: $\bar{V}_0^f(s) = 0$, for $s \in \{0, 1\}$
- While $|\bar{V}_k^f(s) \bar{V}_{k+1}^f(s)| < \epsilon; \quad \forall f, s \in \{0, 1\}$

$$\bar{V}_{k+1}^{f}(s) = \mathbb{E}_{r^{f}, c^{f}, \phi^{f}} \min_{(w, a) \in \mathcal{X}(r^{f}, s)} \left\{ C^{f}(a, w; c^{f}, \phi^{f}) + \gamma \bar{V}_{k}^{f}(a) \right\} \text{ for } s = 0, 1$$

• **Output:** $\bar{V}^{f}(0), \bar{V}^{f}(1)$

D Optimal cache-fetch decisions given $(s_t^f, r_t^f, c_t^f, \phi_t^f)$

$$\left(w_t^{f*}, a_t^{f*}\right) = \underset{(w,a)\in\mathcal{X}(r_t^f, s_t^f)}{\arg\min} \left\{ C^f(a, w; c_t^f, \phi_t^f) + \bar{V}^f(a) \right\}$$

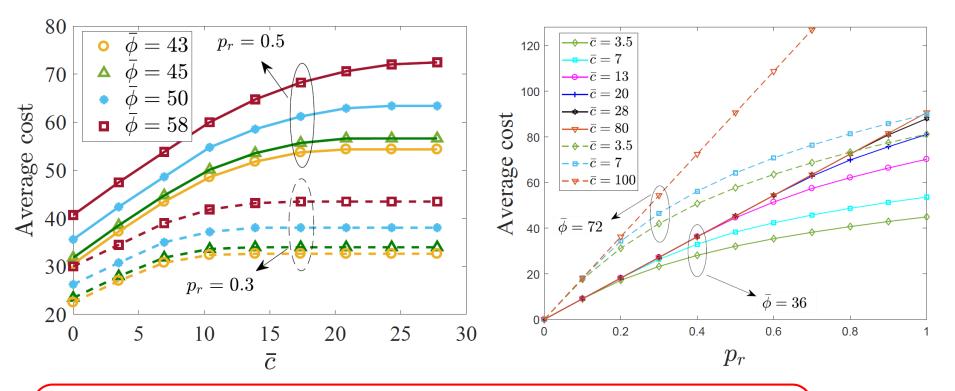
Under iid assumption, marginalized value function takes binary input

 \Rightarrow Fast convergence!

Numerical test with dynamic cost

Per file *f* pdfs

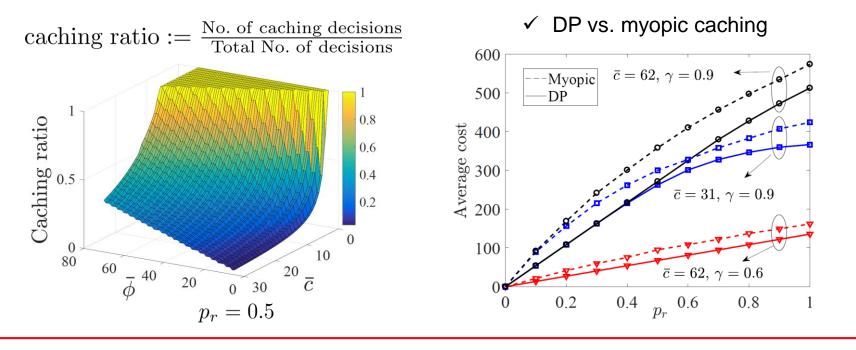
 $c_t^f \sim \mathcal{U}(0, 2\bar{c}), \quad \phi_t^f \sim \mathcal{U}(0, 2\bar{\phi}), \quad r_t^f \sim \text{Bernoulli} (p_r^f)$



✓ For fixed $\overline{\phi}$, up to a certain value of \overline{c} , caching is encouraged ✓ Large p_r encourages caching for a larger range of \overline{c} ✓ Increased cost with more requests p_r

Further comparisons

• $c_t^f \sim \mathcal{U}(0, 2\bar{c}), \quad \phi_t^f \sim \mathcal{U}(0, 2\bar{\phi}), \quad r_t^f \sim \text{Bernoulli}(p_r^f)$



- 🖌 Low $ar{c}$, high $ar{\phi}$
- \checkmark High $ar{c}$, low $ar{\phi}$
- ✓ Intermediate values –

- caching ratio = 1 (flat area)
- caching ratio = 0
- 0< caching ratio <1
- ✓ DP considers future, thus reaches smaller average cost versus myopic caching

Future research and stakeholder analysis

□ Multi-file caching considering queuing and cache refreshing costs

- □ Cooperative caching across neighboring small cells
- □ Cross-layer design of coded caching
- □ Privacy-preserving, secure, space-time variable caching

